

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

|   |                                       |
|---|---------------------------------------|
| -----x  |                                       |
|   | :                                     |
| <b>In re</b>                                  | :                                     |
|   | :                                     |
| <b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b> | :                                     |
|   | :                                     |
| <b>Debtors.</b>                               | :                                     |
|   | :                                     |
| -----x  |                                       |
|   | <b>Chapter 11 Case No.</b>            |
|   | <b>08-13555 (SCC)</b>                 |
|   | <b>(Jointly Administered)</b>         |
|   | <b>Ref. Docket Nos. 50389, 50390,</b> |
|   | <b>50403, 50405, 50565-50571</b>      |

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On August 12, 2015, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
13<sup>th</sup> day of August, 2015

/s/ Sidney J. Garabato  
Notary Public, State of New York  
No. 01GA6218946  
Qualified in New York County  
Commission Expires March 15, 2018

## **EXHIBIT A**

Epiq Bankruptcy Solutions, LLC  
PO BOX 4470  
Beaverton, OR 97076-4470

Legal Documents Enclosed  
Please direct to the attention  
of the Addressee,  
Legal Department or President



LBH TRFNTC (MERGE2, TXNUM2) 4000170524

To: BAR(23) MAILID \*\*\* 000095218901 \*\*\*



BANC OF AMERICA CREDIT PRODUCTS, INC.  
TRANSFEROR: PREFERRED MORTGAGES LIMITED  
C/O BANK OF AMERICA MERRILL LYNCH  
BANK OF AMER TOWER,3 FL; ONE BRYANT PARK  
NEW YORK, NY 10036

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|--|
| In re                                  |
| LEHMAN BROTHERS HOLDINGS INC., et al., |
| Debtors.                               |

Chapter 11 Case No.  
  
08-13555 (SCC)  
  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000095218901 \*\*\* LBH TRFNTC (MERGE2, TXNUM2) 4000170524



BANC OF AMERICA CREDIT PRODUCTS, INC.  
TRANSFEROR: PREFERRED MORTGAGES LIMITED  
C/O BANK OF AMERICA MERRILL LYNCH  
BANK OF AMER TOWER,3 FL; ONE BRYANT PARK  
NEW YORK, NY 10036

Please note that your claim # 200029 in the above referenced case and in the amount of  
\$42,632,876.00 allowed at \$42,632,876.00 has been transferred (unless previously expunged by court order)

BKM HOLDINGS (CAYMAN) LTD.  
TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC.  
INTERTRUST CORPORATE SERVICES (CAYMAN) LIMITED  
190 ELGIN AVENUE  
GEORGE TOWN KY1-9005  
GRAND CAYMAN

BKM HOLDINGS (CAYMAN) LTD.  
C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT  
ATTN: JENNIFER DONOVAN  
520 MADISON AVENUE, 30TH FLOOR  
NEW YORK, NY 10022

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 50570 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 08/12/2015

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on August 12, 2015.

## **EXHIBIT B**

| Claim Name                             | Address Information  |
|--|--|
| BANC OF AMERICA CREDIT PRODUCTS, INC.  | TRANSFEROR: STORM FUNDING LIMITED (IN ADMINISTRATION), C/O BANK OF AMERICA MERRILL LYNCH, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036 |
| BANC OF AMERICA CREDIT PRODUCTS, INC.  | TRANSFEROR: RESETFAN LIMITED, C/O BANK OF AMERICA MERRILL LYNCH, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036                          |
| BANC OF AMERICA CREDIT PRODUCTS, INC.  | TRANSFEROR: PREFERRED MORTGAGES LIMITED, C/O BANK OF AMERICA MERRILL LYNCH, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036               |
| BANC OF AMERICA CREDIT PRODUCTS, INC.  | TRANSFEROR: SOUTHERN PACIFIC FUNDING 3 LIMITED, C/O BANK OF AMERICA MERRILL LYNCH, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036        |
| BARCLAYS BANK PLC                      | TRANSFEROR: TURNPIKE LIMITED, ATTN: DANIEL MIRANDA, 745 SEVENTH AVENUE, 2ND FLOOR, NEW YORK, NY 10019  |
| BARCLAYS BANK PLC                      | BARCLAYS BANK PLC, ATTN: JEFF LONGMUIR, 745 SEVENTH AVENUE, 2ND FLOOR, NEW YORK, NY 10019  |
| BARCLAYS BANK PLC                      | TRANSFEROR: TURNPIKE LIMITED, ATTN: DANIEL MIRANDA, 745 SEVENTH AVENUE, 2ND FLOOR, NEW YORK, NY 10019  |
| BARCLAYS BANK PLC                      | BARCLAYS BANK PLC, ATTN: JEFF LONGMUIR, 745 SEVENTH AVENUE, 2ND FLOOR, NEW YORK, NY 10019  |
| BKM HOLDINGS (CAYMAN) LTD.             | TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC., INTERTRUST CORPORATE SERVICES (CAYMAN) LIMITED, 190 ELGIN AVENUE, GEORGE TOWN KY1-9005 GRAND CAYMAN |
| BKM HOLDINGS (CAYMAN) LTD.             | C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022                                    |
| BKM HOLDINGS (CAYMAN) LTD.             | TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC., INTERTRUST CORPORATE SERVICES (CAYMAN) LIMITED, 190 ELGIN AVENUE, GEORGE TOWN KY1-9005 GRAND CAYMAN |
| BKM HOLDINGS (CAYMAN) LTD.             | C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022                                    |
| BKM HOLDINGS (CAYMAN) LTD.             | TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC., INTERTRUST CORPORATE SERVICES (CAYMAN) LIMITED, 190 ELGIN AVENUE, GEORGE TOWN KY1-9005 GRAND CAYMAN |
| BKM HOLDINGS (CAYMAN) LTD.             | C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022                                    |
| BKM HOLDINGS (CAYMAN) LTD.             | TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC., INTERTRUST CORPORATE SERVICES (CAYMAN) LIMITED, 190 ELGIN AVENUE, GEORGE TOWN KY1-9005 GRAND CAYMAN |
| BKM HOLDINGS (CAYMAN) LTD.             | C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022                                    |
| CVI GVF LUX NINETY NINE SARL           | TRANSFEROR: ROYAL BANK OF SCOTLAND PLC, THE, C/O CARVAL INVESTORS GB LLP, 25 GREAT PULTENEY STREET, 3RD FLOOR, LONDON W1F 9LT UNITED KINGDOM           |
| CVI GVF LUX NINETY NINE SARL           | TRANSFEROR: ROYAL BANK OF SCOTLAND, PLC, THE, C/O CAR VAL INVESTORS BF LLP, 25 GREAT PULTENEY STREET, 3RD FLOOR, LONDON W1F 9LT UNITED KINGDOM         |
| GOLDMAN SACHS & CO.                    | TRANSFEROR: RAPAX OC MASTER FUND, LTD., ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282  |
| GOLDMAN SACHS & CO.                    | TRANSFEROR: RAPAX OC MASTER FUND, LTD., ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282  |
| GOLDMAN SACHS & CO.                    | TRANSFEROR: RAPAX OC MASTER FUND, LTD., ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282  |
| ILLIQUIDX LLP                          | TRANSFEROR: INTESA SANPAOLO PRIVATE BANKING S.P.A., ATTN: MR. CELESTINO AMORE, 80 FLEET STREET, LONDON EC4Y 1EL UNITED KINGDOM                         |
| INTESA SANPAOLO PRIVATE BANKING S.P.A. | REF. PAOLO POLLASTRI (LEGAL DEPARTMENT), VIA HOEPLI, 10, MILANO 20121 ITALY  |
| LEHMAN BROTHERS HOLDINGS, INC.         | TRANSFEROR: GOAL LINE PARTNERS, LLC, ATTN: RICHARD KATZ, 1271 AVENUE OF THE AMERICAS, 40TH FLOOR, NEW YORK, NY 10020                                   |
| LEHMAN BROTHERS HOLDINGS, INC.         | WEIL GOTSHAL & MANGES LLP, GARRETT FAIL AND SUNNY SINGH, 767 FIFTH AVENUE, NEW YORK, NY 10153  |
| LEHMAN BROTHERS SPECIAL FINANCING INC. | TRANSFEROR: LEHMAN BROTHERS HOLDINGS, INC., ATTN: RICHARD KATZ, 1271 AVENUE OF AMERICAS, 40TH FLOOR, NEW YORK, NY 10022                                |
| LEHMAN BROTHERS SPECIAL FINANCING INC. | WEIL GOTSHAL & MANGES LLP, ATTN: GARRETT FAIL & SUNNY SINGH, 767 FIFTH AVENUE, NEW YORK, NY 10153  |

| Claim Name                       | Address Information  |
|----------------------------------|--|
| RAPAX OC MASTER FUND, LTD.       | TRANSFEROR: SERENGETI RAPAX MM. L.P., C/O SERENGETI ASSET MANAGEMENT LP - ERIN FINEGAN, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012                         |
| RAPAX OC MASTER FUND, LTD.       | TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P., C/O SERENGETI ASSET MANAGEMENT LP - ERIN FINEGAN, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012                  |
| RAPAX OC MASTER FUND, LTD.       | TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P., C/O SERENGETI ASSET MGMT - ERIN FINEGAN, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012                           |
| ROYAL BANK OF SCOTLAND PLC, THE  | TRANSFEROR: EVERGREEN GLOBAL DIVIDEND OPPORTUNITY FUND, 600 WASHINGTON BOULEVARD, STAMFORD, CT 06901   |
| ROYAL BANK OF SCOTLAND, PLC, THE | TRANSFEROR: CAISSE REGIONALE, ATTN: MATTHEW ROSENCRANS, 600 WASHINGTON BOULEVARD, STAMFORD, CT 06901   |
| ROYAL BANK OF SCOTLAND, PLC, THE | DAVID HOYT, ANDREWS KURTH LLP, 450 LEXINGTON AVENUE, NEW YORK, NY 10017  |
| TURNPIKE LIMITED                 | TRANSFEROR: ALDEN GLOBAL VALUE RECOVERY MASTER FUND, L.P., C/O ALDEN GLOBAL CAPITAL; ATTN: ITHRAN OLIVACCE, 885 THIRD AVENUE, 34TH FLOOR, NEW YORK, NY 10022 |
| TURNPIKE LIMITED                 | TRANSFEROR: ILLIQUIDX LLP, C/O ALDEN GLOBAL CAPITAL, ATTN: ITHRAN OLIVACCE, 885 THIRD AVENUE, 34TH FLOOR, NEW YORK, NY 10022                                 |

|                                |
|--------------------------------|
| <b>Total Creditor Count 35</b> |
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